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Unfair Competition, Trade Secrets,  
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REQUEST FOR

CONTINUED EXAMINATION (RCE)

TRANSMITTAL

Commissioner of Patents  
Box RCE  
Washington, D.C. 20231

Application No	09/537,088
Filing Date:	March 29, 2000
Title:	NOVEL INCLUSION COMPLEXES OF A HIGH POTENT OPIOID PEPTIDE, PHARMACEUTICAL COMPOSITIONS AND METHOD OF TREATMENT
Inventors:	DWIVEDI et al.
Group Art Unit:	1653
Examiner:	J. Russel
Atty Docket No:	82239

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

Note: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application and Provisional Application Practice, Final Rule 65 Fed.Reg. 50092 9Aug. 16, 2000; Interim Rule, 65 Fed. Reg. 14865 (mar. 20, 2000), 1233 Off.Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

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1. Submission required under 37 C.F.F. § 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendments(s)/reply under 37 C.F.R. § 1.116 previously filed on 5/3/02.
  - ii. Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
  - ii. Other \_\_\_\_\_.
- c. ☐ Enclosed
- i. ☐ Amendment/Reply
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement (IDS)
  - iv. ☐ Other \_\_\_\_\_.

2. Miscellaneous

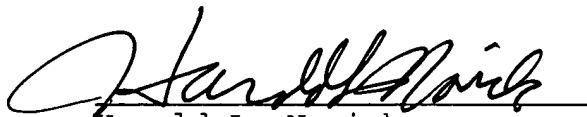
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_.

3. Fees

(The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Acct. No. 14-0112.
- i. ☒ RCE fee of \$ 740.00 required under 37 C.F.R. § 1.17 (e) is enclosed.
  - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii. ☐ Other \_\_\_\_\_.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

  
Harold L. Novick  
Registration No. 26,011  
Customer No. 20529

Date: 5/3/02  
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HLN/JBG (RCE)



BOX PATENT  
Attorney Docket No. 87239

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 1653  
DWIVEDI et al. )  
Serial No. 09/537,088 )  
Filed: March 29, 2000 )  
Examiner: J. RUSSEL

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For: **NOVEL INCLUSION COMPLEXES OF A HIGH POTENT OPIOID PEPTIDE,  
PHARMACEUTICAL COMPOSITIONS AND METHOD OF TREATMENT**

RESPONSE TO OFFICIAL ACTION

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to the Final Office Action having a mailing date of November 7, 2001, with the three month shortened statutory period to respond set to expire on February 7, 2002. A petition for a three-month extension of time and a check are filed herewith extending the period for response to May 7, 2002. Accordingly, this Response is timely filed.

In view of the following remarks, applicants respectfully request the Examiner to reconsider and withdraw the outstanding rejections and allow all claims pending in this application.

**AMENDMENT**

IN THE SPECIFICATION

Please amend the specification as indicated in Appendices A